

Audit and Governance Committee

Agenda

Date:	Thursday, 28th March, 2013
Time:	2.00 pm
Venue:	The Capesthorpe Room - Town Hall, Macclesfield SK10 1EA

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**

2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with Procedure Rules Nos.11 and 35 a period of 10 minutes is allocated for members of the public to address the meeting on any matter relevant to the work of the Committee. Individual members of the public may speak for up to 5 minutes but the Chairman or person presiding will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers. Members of the public are not required to give notice to use this facility. However, as a matter of courtesy, a period of 24 hours' notice is encouraged.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

4. **Minutes of Previous meeting** (Pages 1 - 12)

To approve the minutes of the meeting held on 31st January 2013.

5. **Certification of Claims and Returns - Annual Report** (Pages 13 - 26)

To consider a report summarising the key findings identified during the external auditor's certification process for 2011/12 specific grant income.
6. **External Audit Plan 2012-13** (Pages 27 - 56)

To consider the Audit Plan for the year ending 31 March 2013.
7. **Internal Audit Plan 2013/14** (Pages 57 - 72)

To receive and approve the Internal Audit Plan for 2013/14.
8. **Audit and Governance Committee Self-Assessment** (Pages 73 - 80)

To consider a report on the results of a self-assessment of the effectiveness of the Audit and Governance Committee.
9. **Whistleblowing Policy** (Pages 81 - 86)

To provide the Committee with an update on the effectiveness of the Council's Whistleblowing Policy and a breakdown of the number of reports received during 2012/13.
10. **Risk Management Update Report** (Pages 87 - 90)

To consider a summary of risk management work undertaken since the previous meeting of the Audit and Governance Committee.
11. **Update on Programme and Project Management and other Compliance Issues** (Pages 91 - 98)

To provide an update on programme and project management and other compliance issues.
12. **Compliance with Regulation of Investigatory Powers Act (2000) (RIPA)** (Pages 99 - 102)

To provide an update on how the Council has complied with RIPA legislation during 2012/13 and the number of RIPA applications which have been submitted and authorised; changes made to the existing RIPA Policy and Procedures to take account of the requirements of the Protection of Freedoms Act 2012; and the forthcoming inspection by the Office of the Surveillance Commissioner.
13. **Work Plan 2012/13** (Pages 103 - 110)

To present an updated Work Plan to the Committee for consideration.

THERE ARE NO PART 2 ITEMS